



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 07, 2004

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O'MELVENY & MYERS LLP JOHN KAPPOS 114 PACIFICA, SUITE 100 IRVINE, CA 92618-3315

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 09/03/2003

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BARBUT, DENISE R.

DOC DATE: 08/13/2003

ASSIGNEE:

COAXIA, INC.

10900 73RD AVENUE NORTH, SUITE 102 MAPLE GROVE, MINNESOTA 55369-5400

SERIAL NUMBER: 10655353

PATENT NUMBER:

FILING DATE: 09/03/2003

ISSUE DATE:

LAZENA MARTIN, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

09-16-2003	
FORM PTO-1595 1-31-92 JUN 1 3 2005 REC(	T U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
Docket No. 161,700-043.	52823 g
To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.	
Name of conveying party(ies):	2. Name and address of receiving party:
Denise R. Barbut	Name: COAXIA, INC.
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No	$\mathcal{S}(\mathcal{S})$
3. Nature of conveyance:	Internal Address:
	City: State: Zip: 9- <b>3</b> -63
☐ Other	Street Address: 10900 73 <sup>rd</sup> Avenue North, Suite 102
Execution Date: August 13, 2003	City: Maple Grove State: MN Zip: 55369-5400
	Additional name(s) & address(es) attached?   Yes   No
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application, the execution date of the application is: August 13, 2003	
A. Patent Application No(s).:	B. Patent No(s).:
Additional numbers attached?  Yes  No	
5. Name and address of party to whom correspondence	6. Total number of applications and patents involved: 1
concerning document should be mailed:	
Name: John Kappos	7. Total fee (37 CFR 3.41): \$ 40.00 ☑ Enclosed
Internal Address: O'Melveny & Myers LLP	·
114 Pacifica, Suite 100	Charge this Deposit Account if any additional fee is
Irvine, CA 92618-3315	required
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	8. Deposit Account Number: 50-0639
	THIS SPACE
9. Statement and signature:	
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Date: September 3, 2003  John Kappos, Reg. No. 37,861	
Total number of pages including cover sheet: 3	
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IR1:1046451.1

## ASSIGNMENT OF PATENT APPLICATION

WHEREAS, I, DENISE BARBUT, a citizen of U.S.A., having a place of business at 10900 73<sup>rd</sup> Avenue North, Suite 102, Maple Grove, MN 55369 (hereinafter referred to as "ASSIGNOR"), have invented ENHANCEMENT OF CEREBRAL BLOOD FLOW BY ELECTRICAL NERVE STIMULATION for which application for Letters Patent of the United States of America is filed herewith; and

WHEREAS, COAXIA, INC., a corporation organized and existing under and by virtue of the laws of the state of Delaware and having its principal place of business at 10900 73<sup>rd</sup> Avenue North, Suite 102, Maple Grove, MN 55369 (hereinetter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue

IR1:1046454.1

Patent Attorney Docket 161,700-043

said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention, and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, the ASSIGNOR has executed this Assignment at:

Maple Grove, MN

Denise R. Barbut

Date

COPY

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